CORPORATE GOVERNANCE REPORT FOR THE QUARTER ENDED ON 31ST MARCH 2022

Name of Listed Entity
 Quarter ending

South West Pinnacle Exploration Limited
 31-Mar-2022

Composition Of Board Of Director

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				Mr.				Mr.		Mrs.			Mr.		Mr.	i i
Whethe related t	Whethe	Compar	PRASAD RITOLIA	RAJEND RA	Α	KUMAR	RA	JITEND	HWARI	SUDHA	LORD	JAMES	ROGER	JAIN	PIYUSH	JAIN
Whether Chairperson is related to MD or CEO	Whether Permanent chairperson appointed	Company Remarks		00119488				07983426		06784093			00952295		00049319	
				ACEPRO 093C			0509M	AGBPM	2226R	AKCPB		708E	ACGPL5	400G	ADIPJ8	235E
Yes	Yes			ID				Ð		ID			NED		ED	
															MD	
				31-Mar- 2019			2017	07-Nov-	2017	30-0ct-	i c	2020	09-Nov-	2009	20-Nov-	2006
				01-Apr- 2021			2020	07-Nov-	2020	30-0ct-				2020	20-Nov-	2020
				36				52.8		53						
				08-Jul- 1948			1970	22-Aug-	1981	20-Aug-	TOTA	1947	12-Ang-	1982	17-Jan-	1974
				NA				NA		NA		MA	N		NA	
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Composition of Committees

a. Audit Committee

20-Nov-2017	Chairperson	ID	JITENDRA KUMAR	ω
20-Nov-2017	Member	C,ED	VIKAS JAIN	2
20-Nov-2017	Member	ID	SUDHA MAHESHWARI	1
Date				No
Appointmen	Category Chairperson/Membership	Category	Name of the Director	Sr



	MISHRA				
Compa	Company Remarks				
Wheth	Whether Permanent	Yes			
chairp	chairperson appointed				
b. §	Stakeholders Relationship Committee	ip Committee			
Sr. No.	Name of the Director	Category	Chairperson/Membership Appointment	Appointment Date	Cessation Date
1	PIYUSH JAIN	ED	Member	20-Nov-2017	
2	VIKAS JAIN	C,ED	Member	20-Nov-2017	
ω	JITENDRA KUMAR	ID	Chairperson	20-Nov-2017	

c. Risk Management Committee	1	
Risk Management Committee	1	
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Management Committee		×
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nmittee	9	ò
nittee		3
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Company Remarks
Whether Permanent
chairperson appointed

Yes

	Date				No.
Cessation Date	Appointment	Chairperson/Membership	Category	Name of the Director	S

Company Remarks
Vhether Permanent
hairperson appointed

d. Nomination and Remuneration Committee

No.	Name of the Director	Category	Category Chairperson/Membership Appointment Date	Appointment Date	Cessation Date
1	SUDHA MAHESHWARI	ID	Chairperson	21-Jul-2020	
2	VIKAS JAIN	C,ED	Member	21-Jul-2020	
ω	JITENDRA KUMAR MISHRA	ID	Member	21-Jul-2020	

Company Remarks
Whether Permanent
chairperson appointed

Yes



Meeting of Board of Directors

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Quorum met	Directors present Directors	ent of met	requireme Quorum r	(if any) in the requirem	(if any) in the previous quarter
Yes 4	4	4	Yes	15-Jan-2022	07-Nov-2021
Yes 5	5	5	Yes	09-Feb-2022	
	_	4	Yes	25-Feb-2022	
ter Quorum met Yes Yes	5 4	2	Yes Yes	relevant quarter 15-Jan-2022 09-Feb-2022 25-Feb-2022	previous quarter 07-Nov-2021

Maximum gap between any 68
two consecutive (in number of

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	07-Nov-2021	09-Feb-2022	Yes	ω	2
Nomination & Remuneration Committee	07-Nov-2021	09-Feb-2022	Yes	ω	2
Stakeholders Relationship Committee	07-Nov-2021	09-Feb-2022	Yes	ω	1



Company Remarks
Maximum gap between any
two consecutive (in number of
days) [Only for Audit
Committee]

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Related Party Transactions

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Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT Not Applicable	Not Applicable	
Whether details of RPT entered into pursuant to omnibus Yes	Yes	
approval have been reviewed by Audit Committee		

related party transactions
Disclosure of notes of material
party narioacutoris and
narty transactions and
Disclosure of notes on related

VI. Affirmations

The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - Yes

The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015

Audit Committee - Yes

Nomination & remuneration committee - Yes

Stakeholders relationship committee - Yes

d. Risk management committee (applicable to the top 100 listed entities) - Not applicable

requirements) Regulations, 2015. - Yes The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure

4 disclosure requirements) Regulations, 2015.- Yes The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and

5 a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - Yes b. Any comments/observations/advice of Board of Directors may be mentioned here:

Name : Designation :

VAISHALI

Company Secretary & Compliance Officer



ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

Item	Compliance	Company	Website
	(Yes/No/NA)	Remark	
As per regulation 46(2) of the LODR:			
Details of business	Yes		www.southwestpinnacle.com
ons of appointment of independent	Yes		www.southwestpinnacle.com
ors	Yes		www.southwestpinnacle.com
	Yes		www.southwestpinnacle.com
istle Blower	Yes		www.southwestpinnacle.com
	Yes		www.southwestpinnacle.com
	Yes		www.southwestpinnacle.com
	Yes		www.southwestpinnacle.com
to independent	Yes		www.southwestpinnacle.com
_	Yes		www.southwestpinnacle.com
	Yes		www.southwestpinnacle.com
	Yes		www.southwestpinnacle.com
Ittern	Yes		www.southwestpinnacle.com
s entered into with the media eir associates	Not Applicable		
investor meet and ntity to analysts or	Yes		www.southwestpinnacle.com
	Not Applicable		
	Yes		www.southwestpinnacle.com
btained by the entity	Yes		www.southwestpinnacle.com
Separate audited financial statements of each subsidiary of	Yes		www.southwestpinnacle.com
	Vec		www.southwestpinnacle.com
	Yes		www.southwestpinnacle.com



It is certified that those contents on the website of the list of		olicable		
Il Annual Affirmations	Col tile listed Ites			www.southwestpinnacle.com
Particulars	Regulation Number	Compl	Company Rea	Remark
Independent director(s) have been appointed in terms of specified criteria of independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes		
Board composition	17(1), 17(1A) & 17(1B)	Yes		
Meeting of Board of directors	17(2)	Yes		
Quorum of Board meeting	17(2A)	Yes		
Review of Compliance Reports	17(3)	Yes		
Plans for orderly succession for appointments	17(4)	Yes		
Code of Conduct	17(5)	Yes		
Fees/compensation	17(6)	Yes		
Minimum Information	17(7)	Yes		
Compliance Certificate	17(8)	Yes		
Risk Assessment & Management	17(9)	Yes		
Performance Evaluation of Independent Directors	17(10)	Yes		
Recommendation of Board	17(11)	Yes		
Maximum number of directorship	17A	Yes		
Composition of Audit Committee	18(1)	Yes		
Meeting of Audit Committee	18(2)	Yes		
Composition of nomination & remuneration committee	19(1) & (2)	Yes		
Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes		
Meeting of nomination & remuneration committee	19(3A)	Yes		
Composition of Stakeholder Relationship Committee	20(1), 20(2) and 20(2A)	Yes		
Meeting of stakeholder relationship committee	20(3A)	Yes		



Executive Directors	26/1)	personnel	Directors and Senior management	o code of 26(3)	Memberships in Committees 26(1) Yes	D & O Insurance for Independent 25(10) Not Applicable	Declaration from Independent Director 25(8) & (9) Yes	Familiarization of independent directors 25(7) Yes	Meeting of independent directors 25(3) & (4) Yes	25(2)	Maximum Tenure Yes	Alternate Director to Independent Director 25(1)	24(A)	subsidiary of & (6)	æ	Composition of Board of Directors of 24(1) Not Applicable unlisted material Subsidiary	Consolidated basis 23(9) Yes		udit 23(2), (3)	Policy for related party Transaction 23(1),(1A),(5),(6 Yes	Vigil Mechanism 22 Yes	Meeting of Risk Management Committee 22 Not Applicable	Composition and role of risk management 21(1),(2),(3),(4) Not Applicable committee	

III Affirmations:



complied. - Yes The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been

Name : VAISHALI Designation : Company

Company Secretary & Compliance Officer

ANNEXURE IV

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months	nount advanc	ed during si	x months	Balar	ice outstand	Balance outstanding at the end of six months	of six months
Promoter or any other entity controlled by them	Promoter Group or any other entity controlled by them	Directors (including relatives) or any other entity controlled by them	KMPs or any other entity controlled by them	Promot er or any other entity controll ed by	Promoter Group or any other entity controlled by them	Directors (including relatives) or any other entity controlled by them	KMPs or any other entity controlled by them
0	20000000	0	0	0	0	0	0

other form of debt availed by: (B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any

Entity	Type (guarantee, comfort letter sissuance during six months	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or 0 any other entity controlled by	or 0 ner	0	0



			mem
			thom
			controlled by
			other entity
0	0	0	KMPs or any 0
			them
			controlled by
			entity
			any other
			relatives) or
			(including
0	0	0	Directors
			them
			controlled by
			other entity
			Group or any
C	-	C	Promoter

shares etc.) Ster or any 0 Iype of Security (cash, security provided during six months of the following six mont	0	Promoter Group 0 0 0 0 or any other entity controlled
ided end of six months onths		0



same may be indicated here	rovide any other information the	(D) If the Listed Entity would like to provide any other information the same may be indicated be
		them
		controlled by
		other entity
0	0	KMPs or any 0
		them
		controlled by
		other entity
		relatives) or any
		(including
0	0	Directors

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company compliant status case of non-Company Remarks in **Affirmations**

